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1754

11321-P021US

PATENT





UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of: Richard E. Smalley et al. | : | Group Art Unit: | 1754 |
| Serial No.: 10/071,166 | : | Examiner: | Not Yet Assigned |
| Filed: February 8, 2002 | : | | |

Title: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

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**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on May 9, 2002.

Signature

Gracie Solis
*(Printed name of person certifying)*

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT**

| | |
|---|---|
| Serial No.: | 10/071,166 |
| Applicants: | Richard E. Smalley et al. |
| Filing Date: | February 8, 2002 |
| Group: | 1754 |
| Atty. Docket No.: | 11321-P021US |





Reference Designation

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Translation Yes | Translation No |
|---|---|---|---|---|---|---|---|
| _____AAA | EP 1 061 042 A1 | 12/20/2000 | Europe | | | Yes | |
| _____ABA | EP 1 061 040 A1 | 12/20/2000 | Europe | | | Yes | |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial


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_____APA DUJARDIN, *et al.*, "Purification of Single-Shell Nanotubes," *Adv. Mater.*, Volume 10, Number 8 (1998), pp. 611-613.

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Examiner: Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.